

## Audit and Governance Committee Work Programme 2023 - 2024

Report Reference	Audit & Governance Committee	Title	Purpose of Report	Lead Officer	Consultation & Engagement Process & Timeline	Equality Impact Assessment	Corporate Plan Priority	Part of Budget and Policy Framework	Exempt Item and Paragraph Number
AG/15/23-24	27/07/2023	Annual complaints report 2022/23	To provide an update on Complaints and customer contact for the period 1st April to 31st March and to provide assurance that actions arising from complaints and improvements identified are being actioned	Head of Customer Services	No	No	Open	No	No
AG/08/23-24	27/07/2023	Annual Procurement Update	To update the Audit and Governance Committee on Procurement activity during the previous financial year	Director of Finance and Customer Services	No	No	Open	No	Yes
AG/16/21-22	27/07/2023	External Audit - Value for Money Report	To receive the Value for Money report from the External Auditors in relation to the Statement of Accounts	Head of Finance	No	No	Open	TBC	No
AG/09/23-24	27/07/2023	Information Governance Update 22/23	To provide an update on the Council's Information Assurance and Data Management (IADM) programme and outlines key aspects of the programme to assure the Committee that information continues to be treated and	Director of Finance and Customer Services	No	No	Open	No	No

			managed as a valued asset, with on-going measures to protect it in line with compliance and leverage it where possible to support enhanced service delivery and optimise business benefit						
AG/13/23-24	27/07/2023	Annual Internal Audit Opinion 2022/23	To accept the assurance provided by the details of the work undertaken by Internal Audit during 2022/23 and how this contributes to the Annual Opinion on the Council's control environment	Head of Audit and Risk	No	No	Open	No	No
AG/12/23-24	27/07/2023	RIPA Policy and Inspection Plan Update	To provide an update on the implementation of actions from the RIPA Inspection in November 2022, and receive the updated policy and procedures	Head of Audit and Risk	No	TBC	Open	No	No
AG/11/23-24	27/07/2023	Annual Risk Management Report 2022/23	To consider the Annual Risk Management Report for 2022/23	Head of Audit and Risk	No	No	Open, Fair, Green	No	No
AG/10/23-24	27/07/2023	Draft Annual Governance Statement 2022/23	To receive the draft Annual Governance Statement (AGS) for 2022/23	Head of Audit and Risk	No	No	Open	No	No
AG/17/23-24	27/07/2023	Section 106 Key Findings - Internal Audit Report	To provide the Committee with the key findings from Internal Audit's review of arrangements for the management and monitoring of Section 106 funds	Head of Audit and Risk	No	No	Open, Fair, Green	No	TBC

AG/26/23-24	27/07/2023	Review of Audit and Governance Committee Terms of Reference	To agree the approach to be taken by the Committee in updating their Terms of Reference, to progress the action plan in place after CIPFA's review of the Committee	Director of Governance and Compliance	No	No	Open	No	No
AG/27/23-24	27/07/2023	Recruitment of Co-Opted Independent Member for the Audit and Governance Committee	To consider and agree the approach and timetable for the recruitment of the second co-opted independent member for the Committee	Head of Audit and Risk	No	No	Open	No	No
AG/05/23-24	28/09/2023	Final Statement of Accounts 2022/23	The purpose of this report is to present to committee the final version of the Statement of Accounts for 2022/23, the statements will incorporate the agreed changes reported in the Mazars Audit Findings report 2022/23. There will be a recommendation to committee approve the Statement of Accounts for 2022/23	Director of Finance and Customer Services	No	No	Open	Yes	No
AG/06/23-24	28/09/2023	Companies Audited Financial Statements 2022/23	The purpose of this report is to present the audited financial statements of Ansa Environmental Services Ltd and Orbitas Bereavement Services Ltd for the year 2022/23	Director of Finance and Customer Services	No	No	Open	Yes	No

AG/14/23-24	28/09/2023	Annual Monitoring Officer Report 2022/23	To accept the assurance outlined within the Annual Monitoring Officer Report, on key aspects of the Monitoring Officer's responsibilities over the previous financial year; this includes Information Governance, Code of Conduct, Member Training and Development, Declarations of Interest and Gifts and Hospitality	Director of Governance and Compliance	No	No	Open	No	No
AG/24/23-24	28/09/2023	Annual Report of Audit and Governance Committee 2022-23	To report in line with the requirements of the Council's Constitution and the Audit and Governance Committee's Terms of Reference, which require a report to full Council on a regular basis	Head of Audit and Risk	No	No	Open	No	No
AG/25/23-24	28/09/2023	Final Annual Governance Statement 2022/23	To present to Committee the final version of the Annual Governance Statement for 2022/23	Head of Audit and Risk	No	No	Open	No	No
AG/19/23-24	28/09/2023	Internal Audit Update - Progress against Internal Audit Plan 2023-24	To provide Committee with an update on work undertaken by Internal Audit in line with the Internal Audit Plan for 2023/24	Head of Audit and Risk	No	No	Open	No	No
AG/03/23-24	07/12/2023	Six Monthly Complaints Report	To provide an update on Complaints and customer contact for the period 1st April to	Head of Customer Services	No	No	Open	No	No

			30th September 2023 and to provide assurance that actions arising from complaints and improvements identified are being actioned						
AG/15/21-22	07/12/2023	Whistleblowing Policy	To present proposals for an updated whistleblowing policy to the Audit and Governance Committee	Director of Governance and Compliance	Yes	No	Open, Fair	No	No
AG/20/23-24	07/12/2023	Risk Management Update	To provide the Committee with an update on the effectiveness of risk management arrangements in the Council	Head of Audit and Risk	No	No	Open	No	No
AG/21/23-24	07/12/2023	Audit and Governance Committee - Progress Against CIPFA Review Actions	To provide a Committee with progress on the implementation of actions agreed after the CIPFA review of the Audit and Governance Committee	Head of Audit and Risk	No	No	Open	No	No
AG/22/23-24	07/03/2024	Internal Audit Update - Progress against Internal Audit Plan 2023-24	To provide the Committee with an update on progress against the Internal Audit Plan 2023/24	Head of Audit and Risk	No	No	Open	No	No
AG/23/23-24	07/03/2024	Draft Internal Audit Plan 2024/25	To present the Committee with the proposed Internal Audit Plan for 2024/25 for approval	Head of Audit and Risk	No	No	Open	No	No

OFFICIAL